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# Genomic imprinting biomarkers for cervical cancer risk stratification

Cervical cancer remains a significant global public health issue due to its high incidence and mortality. Current clinical guidelines recommend screening for high-risk human papillomavirus (hrHPV)-DNA alongside a Thinprep cytologic test (TCT) before further medical evaluation [1]. The hrHPV-DNA test detects 14 high-risk HPV genotypes including the predominant hrHPV16/18, which can cause cervical abnormalities that may progress to cancer if untreated. TCT is paired with the hrHPV-DNA test to pathologically classify cervical specimens into categories based on increasing malignancy risks. Despite the high sensitivities, both tests have high false positive rates which lead to unnecessary colposcopy while HPV is cleared naturally in most women without progressing into lesions. To reduce overdiagnosis and overtreatment, several DNA methylation detections [2, 3] have been developed for triaging the malignancy risk of hrHPV-positive cervical lesions, but have yet to become clinically available. Here, we proposed an epigenetic biomarker panel

Abbreviations: ACD, Advanced Cell Diagnostics; ACS, American Cancer Association; AGC, Atypical glandular cells; ASC-H, Atypical squamous cells-high-grade cannot be excluded; ASCUS, Atypical squamous cells of undetermined significance; AUC, Area under the receiver operating characteristic curve; BAE, Bi-allelic expression; CI, Confidence interval; CIN, Cervical intraepithelial neoplasia; DAPI, 4',6-diamidino-2-phenylindole; DNA, Deoxyribonucleic acid; FITC, Fluorescein isothiocyanate; GNAS, Guanine nucleotide-binding protein, alpha-stimulating complex locus; HM13, Histocompatibility minor 13; HPV, Human papillomavirus; hrHPV, High-risk human papillomavirus; HSIL, High-grade squamous intraepithelial lesion; IRB, Institutional Review Board; ISH, In-situ hybridization; IQR, Inter-quartile range; LOI, Loss of imprinting; LSIL, Low-grade squamous intraepithelial lesion; MAE, Multi-allelic expression; NBF, Neutral buffered formalin; NILM, Negative for intraepithelial lesion or malignancy; NPV, Negative predictive value; PCR, Polymerase chain reaction; PPV, Positive predictive value; QCIGISH, Quantitative chromogenic imprinted gene in-situ hybridization; RNA, Ribonucleic acid; RT, Room temperature; SCC, Squamous cell carcinoma; SNRPN, Small nuclear ribonucleoprotein polypeptide N; SNU13, Small nuclear ribonucleoprotein 13; STROBE, STrengthening the Reporting of OBservational studies in Epidemiology; TCT, Thinprep cytologic test; TE, Total expression.

based on imprinting alterations as a high-performance triage method to improve cervical cancer risk assessment accuracy in hrHPV-positive women.

Loss of imprinting (LOI), an early molecular event in carcinogenesis, is an epigenetic phenomenon when a normally silenced allele of the imprinted gene is activated and expressed [4]. Using the quantitative chromogenic imprinted gene in-situ hybridization (QCIGISH) to visualize and quantify imprinted genes' transcription sites in the nuclei, early epigenetic changes through LOI have been shown as effective biomarkers for detecting multiple malignancies [5]. In the present study, we first screened imprinted gene candidates using resected cervical tissue samples and subsequently developed a cancer risk stratification method based on cytological specimens diagnosed by colposcopy and biopsy (Supplementary Figure S1). The diagnostic model was blindly validated in prospectively collected cytological samples by comparing the QCIGISH results with colposcopy biopsy pathology. Full study protocols are detailed in the Supplementary file.

To identify the most efficient biomarker panel for differentiating malignancy in cervical lesions, we evaluated four candidate imprinted genes based on prior research evidence and targeted literature review of female cancers: guanine nucleotide-binding protein, alpha-stimulating complex locus (GNAS) related to thyroid cancer, osteosarcoma, and skin cancer [6], small nuclear ribonucleoprotein polypeptide N (SNRPN) associated with seminoma, yolk sac tumor, and acute myeloid leukemia [7], histocompatibility minor 13 (HM13) linked to breast cancer [8], and small nuclear ribonucleoprotein 13 (SNU13) involved with lung cancer [9]. QCIGISH was applied to 79 formalin-fixed paraffin-embedded samples comprised of 30 benign, 13 cervical intraepithelial neoplasia grade 1 (CIN1), 14 CIN3, and 22 malignant cases (Supplementary Table S1) for all candidates based on visual evaluation using bright field microscopy. We quantitatively analyzed aberrant allelic expression via N<sub>0</sub>, N<sub>1</sub>, N<sub>2</sub> and N<sub>3+</sub> signals, and calculated bi-allelic (BAE), multi-allelic (MAE), and total expression (TE) measurements (Figure 1A,B, Supplementary

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Figures S2, S3). Histopathological classifications were dichotomized by combining benign with CIN1 cases and combining CIN3 with malignant cases. Significant differences in BAE, MAE, and TE measurements were observed for GNAS, HM13, and SNU13, with higher area under the receiver operating characteristic curve (AUC) values (all P < 0.05), except for SNRPN (Supplementary Figures S4, S5). These findings substantiated the formulation of a threegene epigenetic imprinting biomarker panel composed of GNAS, HM13, and SNU13 for model development.

We subsequently performed QCIGISH detection for the three pre-screened imprinted genes on 75 retrospectively collected cytological samples with biopsy-confirmed diagnoses of 29 benign, 15 CIN1, 15 CIN3, and 16 malignant cases to train a cervical cancer risk stratification model. To refine allelic expression measurements for malignancy differentiation, we extended the N<sub>3+</sub> measurements to N<sub>3</sub>-N<sub>4</sub>, N<sub>5</sub>-N<sub>6</sub>, N<sub>7</sub>-N<sub>8</sub>, and N<sub>9+</sub>, allowing for more pre-

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cise stratification of imprinting alterations to MAE3-4, MAE5-6, MAE7-8, and MAE9+, respectively, and utilized fluorescent microscopy to capture the QCIGISH images (Figure 1C,D, Supplementary Figure S6). Imprinting alterations of all genes were significantly elevated in the CIN3 + malignant group than in the benign + CIN1 group (Figure 1E), showing moderate to high discrimination between groups (Supplementary Figure S7). We applied binary logistic regression to classify patients into cervical cancer low- or high-risk groups, with model development and parameters detailed in Supplementary Figure S8. To accurately estimate the model performance with a limited number of samples, we optimized model parameter settings involving the imprinting alteration biomarkers, gene weight combinations and dichotomization threshold criteria through a 500-cycle internal bootstrap (Supplementary Figure S9, Supplementary Tables S2-S5). The optimal imprinted gene-weighted model improved discrimination,

FIGURE 1 The development and validation of the QCIGISH model for cervical cancer risk stratification. (A) Schematic diagram of the QCIGISH technology as applied on bright field microscopy images. Blue components in the image are cell nuclei while red dots represent the activated gene loci. More red dots indicate aberrant allelic expression.  $N_0$ ,  $N_1$ ,  $N_2$ , and  $N_{3+}$  refer to the total count of cell nuclei observed with 0, 1, 2, and 3 or more dots, respectively, with  $N_0$  and  $N_1$  collectively representing normal allelic expression, while  $N_2$  and  $N_{3+}$  both indicating aberrant allelic expression. BAE, MAE, and TE were computed by applying the values determined for  $N_0$ ,  $N_1$ ,  $N_2$  and  $N_{3+}$  using the given equations. Higher values for BAE, MAE, and TE indicate elevated epigenetic imprinting alterations. (B) Representative images of bright field QCIGISH detection results for benign, CIN1, CIN3, and malignant cervical tissue specimens showing a generally increasing allelic expression quantified using BAE, MAE, and TE measurements. (C) Schematic diagram of the QCIGISH technology as applied on fluorescent microscopy images. Blue components in the image are cell nuclei while green dots represent the activated gene loci. More green dots indicate aberrant allelic expression. N<sub>0</sub>, N<sub>1</sub>, N<sub>2</sub>, N<sub>3</sub>, N<sub>4</sub>, N<sub>5</sub>, N<sub>6</sub>, N<sub>7</sub>, N<sub>8</sub>, and N<sub>9+</sub> refer to the total count of cell nuclei observed with 0, 1, 2, 3, 4, 5, 6, 7, 8, and 9 or more dots, respectively, with  $N_0$  and  $N_1$  collectively representing normal allelic expression, while  $N_2$ ,  $N_3$ ,  $N_4$ ,  $N_5$ ,  $N_6$ ,  $N_7$ ,  $N_8$ , and  $N_{9+}$  all indicating aberrant allelic expression. BAE, MAE3-4, MAE5-6, MAE7-8, MAE9+, and TE were computed with the values determined for  $N_0$ , N1, N2, N3, N4, N5, N6, N7, N8 and N9+ using the given equations. Higher values for BAE, MAE3-4, MAE5-6, MAE7-8, MAE9+, and TE indicate higher epigenetic imprinting alterations. (D) Representative images of fluorescent QCIGISH detection results for benign, CIN1, CIN3, and malignant cervical cytological specimens showing a generally increasing allelic expression quantified using BAE, MAE3-4, MAE5-6, MAE7-8, MAE9+, and TE measurements. (E) Elevated epigenetic imprinting alterations in terms of BAE, MAE3-4, MAE5-6, MAE7-8, MAE9+, and TE demonstrated for the combined CIN3 and malignant categories as compared to cases diagnosed as benign and CIN1 for the GNAS, HM13 and SNU13 imprinted genes. Robust Rank-Order Test was applied during statistical evaluation. \*P < 0.05. \*\*P < 0.01. \*\*\*P < 0.001. ns, not significant. (F) Improved malignancy discrimination performance in terms of the AUC demonstrated for the individual gene models employing the top four best performing biomarker features, and the final combined gene model utilizing weights equal to 40%, 40%, and 20% for the GNAS, HM13 and SNU13 imprinted genes, respectively, as compared to the individual gene models employing the top four best performing biomarker features. (G) Logistic curve plot of the estimated cervical cancer probabilities for the final combined gene model as evaluated on the model validation set showing sufficient discrimination between benign and CIN1 cases against CIN3 and malignant cases. (H) Diagnostic performance in the model validation set showing 93.8% sensitivity (95% CI 85.4%-100.0%) on combined CIN3 and malignant cases, 83.60% specificity (95% CI 75.1%-92.1%) on combined benign and CIN1 cases, 71.4% PPV (95% CI 57.8%-85.1%) on combined CIN3 and malignant cases, and 96.8% NPV (95% CI 92.5%-100.0%) on combined benign and CIN1 cases. Wald's 95% confidence intervals determined using normal approximation were used. (I) Triage performance of the QCIGISH diagnostic model on two groups of hrHPV-positive patients: (1) detected with 16/18 genotypes and (2) detected with non-16/18 genotypes. (J) Triage performance of the QCIGISH diagnostic model on two combined groups of patients who are clinically recommended for colposcopy: (1) hrHPV-positive for 16/18 genotypes; and (2) hrHPV-positive for other genotypes but with TCT grades evaluated as atypical squamous cells of undetermined significance and above (ASCUS+). Group sample sizes are represented as n. <sup>+</sup>Cases with hrHPV16/18, regardless of the TCT diagnosis, were included in the analysis. The ten cases detected as hrHPV-positive but with indeterminate genotype were all diagnosed as TCT ASCUS+ and were included in the analysis. Abbreviations: QCIGISH, Quantitative chromogenic imprinted gene in-situ hybridization; CIN, Cervical intraepithelial neoplasia; BAE, Bi-allelic expression; MAE, Multi-allelic expression; TE, Total expression; GNAS, Guanine nucleotide-binding protein, alpha-stimulating complex locus; HM13, Histocompatibility minor 13; SNU13, Small nuclear ribonucleoprotein 13; AUC, Area under the receiver operating characteristic curve; CI, confidence interval; HPV, Human papillomavirus; TCT, Thinprep cytologic test; ASCUS, Atypical squamous cells of undetermined significance; hrHPV, High-risk human papillomavirus.

achieving an overall AUC of 0.87 (95% confidence interval [CI], 0.78-0.96) (Figure 1F), including an apparent sensitivity of 87.1% (95% CI, 75.3%-98.9%) and specificity of 75.0% (95% CI, 62.2%-87.8%) (Supplementary Table S5). Logistic regression curves were plotted using the estimated cervical cancer probabilities against the QCIGISH diagnostic indices for each gene and their weighted equivalents (Supplementary Figures S10-S12).

We independently and blindly validated the model in 105 cytological samples diagnosed by colposcopy and biopsy, including 49 benign, 24 CIN1, 16 CIN3, and 16 malignant cases (Figure 1G). The diagnostic sensitivities were 100% for malignant cases and 93.8% (95% CI, 85.4%-100%) for CIN3 and malignant cases combined (Figure 1H). Diagnostic specificities were estimated at 89.8% (95% CI, 81.3%-98.3%) for all confirmed benign cases and 83.6% (95% CI, 75.1%-92.1%) for benign and CIN1 cases combined, which could help improve the accuracy of clinical assessments of cervical lesions when used in combination with hrHPV-DNA tests [10]. For QCIGISH-positive cases, 71.4% (95% CI, 57.8%-85.1%) were histopathologically CIN3 and malignant, while 96.8% (95% CI, 92.5%-100%) of QCIGISH-negative cases were benign and CIN1. Moreover, the QCIGISH positivity rate in CIN3 cases was 87.5% (Figure 1H), demonstrating diagnostic viability among molecular triage methods [2, 3]. The high sensitivity could potentially aid in reducing false negatives during triage, which is crucial for detecting cervical abnormalities early.

The model performance evaluation across different HPV genotypes showed that QCIGISH triage was sufficiently accurate for assessing the malignancy risks among hrHPV-positive women (Figure 11). Particularly for hrHPV16/18 genotype patients, 92.0% of the QCIGISH-negative cases were confirmed benign or CIN1, while 82.6% of the QCIGISH-positive cases were CIN3 or malignant. Furthermore, 100% of the QCIGISH-negative cases had benign or CIN1 diagnoses for non-hrHPV16/18 genotypes, while 50.0% of the QCIGISH-positive cases were CIN3.

Additionally, we analyzed the QCIGISH triage performance on two groups recommended for colposcopy: (1) hrHPV16/18 genotype patients; and (2) non-hrHPV16/18 genotype patients with TCT grades evaluated as atypical squamous cells of undetermined significance and above (ASCUS+) [1]. Most benign and CIN1 cases were QCIGISH-negative, contributing 89.7% (95% CI, 80.2%-99.3%) and 68.4% (95% CI, 47.5%-89.3%), respectively (Figure 1J). With epigenetic imprinting biomarkers, unnecessary colposcopy referrals could be significantly avoided, further advancing the diagnostic accuracy of HPV and TCT co-testing and ultimately improving the subsequent medical management for these patients (Supplementary Figure S13). In conclusion, the preliminary findings demonstrated the QCIGISH's robustness as a novel cervical cancer risk assessment test based on aberrant expression of *GNAS*, *HM13*, and *SNU13* imprinted genes, despite the recognized need for further validation in a larger cohort. These results revealed the high diagnostic sensitivity and specificity of this model, which can be useful when applied adjunctively with HPV and TCT co-testing, allowing physicians to rule out malignancy more confidently while reducing overdiagnosis for benign and low-risk cervical lesions in hrHPV-positive cases. Altogether, QCIGISH is a promising triage alternative, with the potential to improve the clinical diagnostic efficacy and medical management of cervical lesions.

#### AUTHOR CONTRIBUTIONS

X.X., W.W., T.C., N.Z. and H.L. designed this study. X.X., W.W., P.B., Y.C., Z.Q., J.W., L.X., X.G., H.Z., L.Y. and W.L. collected the clinical samples. T.C., X.L., J.P.P., P.S. and X.W. performed the experiments and collected the data. J.P.P. performed the statistical analysis. W.H., R.S. and C.Y. did the pathological review. X.X., W.W., P.B., Y.C., Z.Q., T.C., X.L. and J.P.P. interpreted the results. X.L. and J.P.P. drafted the manuscript with contributions from all authors, and X.X., C.Y., H.X. and F.B. revised the paper. Y.L., N.Z., Y.Z. and H.L. supervised and coordinated this study. All authors have read and approved the final manuscript.

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#### CONFLICT OF INTEREST STATEMENT

TC, XL, JPP, PS, XW and NZ are employees of Lisen Imprinting Diagnostics, Inc. No competing interests were reported by the other authors.

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# ETHICS APPROVAL AND CONSENT TO PARTICIPATE

This study was approved by the Institutional Reviewer Boards of Nanjing First Hospital (No. 2017-035R), West China Second University Hospital, Sichuan University (No. 2022-205), Chengdu Women's and Children's Central Hospital (No. 2023-34), and Zigong Maternity and Child Health Care Hospital (No. 2023-12). Written informed consents were received from all patients.

#### DATA AVAILABILITY STATEMENT

The datasets used and/or analyzed in this article were included within the article and the supplementary file. The raw data of this article are available from the corresponding authors upon request.

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## SUPPORTING INFORMATION

Additional supporting information can be found online in the Supporting Information section at the end of this article.